Express Mail Label No.: EK005156384US

Date of Deposit Papril 4, 2001

PATENT APPLICATION

Attorney Docket No. 15966-615 (CURA-115)

WE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Prayaga and Shimkets

SERIAL NUMBER:

09/732,436

EXAMINER:

Not Yet Assigned

FILING DATE:

December 7, 2000

ART UNIT:

1635

For:

NOVEL POLYPEPTIDES AND POLYNUCLEOTIDES ENCODING SAME

April 4, 2001

Boston, Massachusetts

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 7, 2001, Applicants submit herein an executed Inventor Declaration, payment of the surcharge for late filing (\$65.00) set forth in 37 C.F.R. §1.16(e), and a copy of Form PTO-1533.

Also, Applicants file concurrently herewith a Preliminary Amendment in order to incorporate the initial Sequence Listing, filed herewith, into the U.S.S.N. 09/732,436 patent application. Applicants submit an initial computer readable form (CFR) copy of the "Sequence Listing" and a statement that the content of the paper and computer readable copies are the same and include no new matter, in compliance with 37 C.F.R. §§ 1.821-1.825.

Applicants: Prayaga and Shimkers U.S.S.N.: 09/732,436

This response is due on or before May 7, 2001, without extension. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 15966-615 (CURA-115).

Respectfully submitted,

Ivor R. Elrifi, Keg. No. 39,529

Shelby J. Walker, Reg. No. 45,192 Attorneys for Applicants c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

TRADOCS:1457421.1(V8JX01!.DOC)

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Express Mail Label No.: EKces 156384US Date of Deposit: April 4, 2001 Box Seq / Sector &

PATENT APPLICATION Attorney Docket No. 15966-615 (CURA-115)

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Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

In response to the March 7, 2001 Notice to File Missing Parts of Nonprovisional Application, attached please find:

Response to Notice to File Missing Parts (2 pgs.);

Copy of Notice to File Missing Parts of Nonprovisional Application (2 pgs.);

Combined Declaration and Power of Attorney (2 counterparts, 3 pages each); and

Check in the amount of \$65.00 to cover the surcharge;

Preliminary Amendment (2 pgs.);

Initial paper copy of Sequence Listing (32 pgs.);

Initial computer readable form of Sequence Listing (1 diskette);

Statement in Support of Computer Readable Form Submission under 37 C.F.R. § 1.821(f)

(1 pg.); and

Nostcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at telephone (617) 542-6000.

Applicants: U.S.S.N.:

Prayaga and Sminkets

09/732,436

Please charge any additional fees that may be due or credit any overpayment of same to Deposit Account No. 50-0311, Reference No. 15966-615 (CURA-115).

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Shelby J. Walker, Reg. No. 45,192

Attorneys for Applicants

c/o MINTZ, LEVIN
One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

TRADOCS:1452733.1(V4XP01!.DOC)





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/732,436

12/07/2000

Sudhirdas K. Prayaga

15966-615 (CURA-115)

CONFIRMATION NO. 9940

FORMALITIES LETTER

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111

OC00000005833623

Date Mailed: 03/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821 (f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

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■ For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspt .gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE